

JAN 03 2011

PTO/SB/98 (07-09)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Kenneth L. Weiss & Judd M. Storrs (Applicants)/ University of Cincinnati (Patent Owner)Application No./Patent No.: 10/598,764Filed/Issue Date: November 21, 2008Titled: AUTOMATED NEUROAXIS (BRAIN AND SPINE) IMAGING WITH ITERATIVE SCAN PRESCRIPTIONS, ANALYSIS, RECONSTRUCTIONS, LABELING, SURFACE LOCALIZATION AND GUIDED INTERVENTION

University of Cincinnati

a university

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest in;
2. ☐ an assignee of less than the entire right, title, and interest in  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %); or
3. ☐ the assignee of an undivided interest in the entirety of (a complete assignment from one of the joint inventors was made)

the patent application/patent identified above, by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 018542, Frame 0356, or for which a copy therefore is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

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☐ Additional documents in the chain of title are listed on a supplemental sheet(s).

- ☒ As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.



Signature

January 3, 2010

Date

Mark F. Smith

Attorney

Printed or Typed Name

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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PTO/SB/81 (01-08)

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<b>POWER OF ATTORNEY OR REVOCATION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS</b>	Application Number	10/598,784
	Filing Date	November 21, 2008
	First Named Inventor	Kenneth L. Wells et al.
	Title	Automated Neuroaxis (Brain and
	Art Unit	2824
	Examiner Name	Ansari, Tahmina N
	Attorney Docket Number	WKI-002PAT

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☐ I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

OR

☒ I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Practitioner(s) Name	Registration Number
Mark F. Smith	32,437
George P. Brandenburg	30,031

Please recognize or change the correspondence address for the above-identified application to:

☐ The address associated with the above-mentioned Customer Number.

OR

☐ The address associated with Customer Number:

OR

☒ Firm or Individual Name Mark F. Smith

Address 905 Ohio Pike

City Cincinnati State Ohio Zip 45245

Country USA

Telephone 513-379-6848 Email marks@sbtechnologylaw.com

I am the:


☐ Applicant/Inventor.

OR

☒ Assignee of record of the entire interest. See 37 CFR 9.71.

Statement under 37 CFR 3.73(b) (Form PTO/SB/88) submitted herewith or filed on \_\_\_\_\_

**SIGNATURE of Applicant or Assignee of Record**

Signature		Date	December 7, 2010
Name	Geoffrey Pinski	Telephone	613-558-5686
Title and Company	Director, OEATC University of Cincinnati		

**NOTE:** Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 38 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1460, Alexandria, VA 22313-1460. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1460.

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NOVEMBER 21, 2006

PTAS

\*500182559A\*

DAVID E. FRANKLIN  
2200 PNC CENTER  
201 E. 5TH STREET  
CINCINNATI, OH 45202

\*500182559A\*

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RECORDATION DATE: 11/21/2006

REEL/FRAME: 018542/0356  
NUMBER OF PAGES: 5BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).  
DOCKET NUMBER: 0091830.0531844ASSIGNOR:  
WEISS, KENNETH L.

DOC DATE: 11/09/2006

ASSIGNOR:  
STORRS, JUDD M.

DOC DATE: 11/09/2006

ASSIGNEE:  
UNIVERSITY OF CINCINNATI  
3225 EDEN AVENUE  
WHERRY HALL G07/G09, BOX 670829  
CINCINNATI, OHIO 45267-0829

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018542/0356 PAGE 2

SERIAL NUMBER: 10598764

FILING DATE:

PATENT NUMBER:

ISSUE DATE:

TITLE: AUTOMATED NEUROAXIS (BRAIN AND SPINE) IMAGING WITH ITERATIVE SCAN  
PRESCRIPTIONS, ANALYSIS, RECONSTRUCTIONS, LABELING, SURFACE  
LOCALIZATION AND GUIDED INTERVENTION

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## PATENT ASSIGNMENT

Electronic Version v1.1  
Stylesheet Version v1.111/21/2006  
500182559

SUBMISSION TYPE:	NEW ASSIGNMENT												
NATURE OF CONVEYANCE:	ASSIGNMENT												
CONVEYING PARTY DATA													
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Name	Execution Date												
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Judd M. Storrs	11/09/2006												
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<table border="1"> <tr> <td>Name:</td> <td>University of Cincinnati</td> </tr> <tr> <td>Street Address:</td> <td>3225 Eden Avenue</td> </tr> <tr> <td>Internal Address:</td> <td>Wherry Hall G07/G09, Box 670829</td> </tr> <tr> <td>City:</td> <td>Cincinnati</td> </tr> <tr> <td>State/Country:</td> <td>OHIO</td> </tr> <tr> <td>Postal Code:</td> <td>45267-0829</td> </tr> </table>		Name:	University of Cincinnati	Street Address:	3225 Eden Avenue	Internal Address:	Wherry Hall G07/G09, Box 670829	City:	Cincinnati	State/Country:	OHIO	Postal Code:	45267-0829
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Application Number:	10598764												
CORRESPONDENCE DATA													
<p>Fax Number: (513)851-6981</p> <p><i>Correspondence will be sent via US Mail when the fax attempt is unsuccessful.</i></p> <p>Phone: 513-851-6858</p> <p>Email: imiddleton@fttlaw.com</p> <p>Correspondent Name: David E. Franklin</p> <p>Address Line 1: 2200 PNC Center</p> <p>Address Line 2: 201 E. 5th Street</p> <p>Address Line 4: Cincinnati, OHIO 45202</p>													
ATTORNEY DOCKET NUMBER:	0091830.0531844												
NAME OF SUBMITTER:	David E. Franklin												
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Inventor

Agent

*AK*  
*11/27/06*

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<b>NATURE OF CONVEYANCE:</b>	ASSIGNMENT												
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<b>Phone:</b>	513-651-6856												
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<b>ATTORNEY DOCKET NUMBER:</b>	0091830.0531844
<b>NAME OF SUBMITTER:</b>	David E. Franklin
<b>Signature:</b>	/David E. Franklin/
<b>Date:</b>	11/21/2006
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Application No. 10/598,764  
Filed on September 11, 2006

### ASSIGNMENT

WHEREAS, WE, KENNETH L. WEISS, a citizen of the United States, residing at 7653 Coldstream Drive, Cincinnati 45255, County of Hamilton, and State of Ohio and JUDD M. STORRS, a citizen of the United States, residing at 4 Grandin Lane, Apt. 1, Cincinnati 45208, County of Hamilton, and State of Ohio (hereinafter referred to as "ASSIGNORS"), have invented a AUTOMATED NEUROAXIS (BRAIN AND SPINE) IMAGING WITH ITERATIVE SCAN PRESCRIPTIONS, ANALYSIS, RECONSTRUCTIONS, LABELING, SURFACE LOCALIZATION AND GUIDED INTERVENTION, for which we have executed an application for Letters Patent of the United States, on September 11, 2006, and

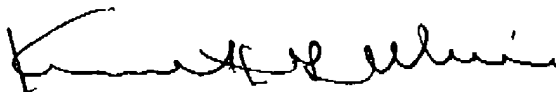
WHEREAS, THE UNIVERSITY OF CINCINNATI, , a non-profit corporation duly organized and existing under the laws of the State of Ohio, (hereinafter referred to as "ASSIGNEE"), is desirous of acquiring the entire interest therein:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, WE, the said ASSIGNORS, hereby sell, assign, and transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals, and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues, reexaminations and extensions thereof; and all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals, reexaminations and reissues thereof;

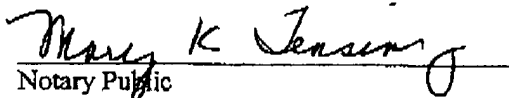
ASSIGNORS HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

ASSIGNORS HEREBY grant the firm of Frost, Brown Todd LLC the power to insert in this Assignment any further identification or information which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation

ASSIGNORS HEREBY further covenant and agree that they will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to them respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue, reexamination and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.



KENNETH L. WEISS

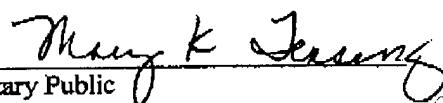
STATE OF OhioCOUNTY OF Hamilton)  
) SS:  
)Sworn to and subscribed before me this 9th day of November, 2006.MARY K. TENSING  
Notary Public, State of Ohio  
My commission expires 06/20/2009  
Notary Public

  
JUDD M. STORRS

STATE OF Ohio  
COUNTY OF Hamilton

)  
) SS:  
)

Sworn to and subscribed before me this 9th day of November, 2006.

  
Notary Public

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MARY K. TENSING  
Notary Public, State of Ohio  
My commission expires 06/20/2009